



Docket No.: E0196.0100  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:  
Franz Klug et al.

Application No.: 11/405,500

Confirmation No.: 5340

Filed: March 20, 2006

Art Unit: N/A

For: METHOD AND DEVICE FOR PROTECTED  
TRANSMISSION OF DATA WORDS

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date  
Granted mailed May 25, 2006, Applicant respectfully submits a Combined Declaration  
and Power of Attorney, Replacement Drawing(s) (5 figures, 5 pages), Petition for  
Extension of time and Part 2 Copy of Notice.

Please charge our Credit Card in the amount of \$1950.00 covering the fee set  
forth in 37 CFR 1.16(f). Credit Card Payment Form SB-2038, with a signature from an  
authorized cardholder, is enclosed. The Director is hereby authorized to charge any  
deficiency in the fees filed, asserted to be filed or which should have been filed  
herewith (or with any paper hereafter filed in this application by this firm) to our

Application No.: 11/405,500

Docket No.: E0196.0100

Deposit Account No. 50-2215, under Order No. E0196.0100. A duplicate copy of this paper is enclosed.

Dated: August 14, 2006

Respectfully submitted,

By Laura C. Brutman

Laura C. Brutman

Registration No.: 38,395

DICKSTEIN SHAPIRO LLP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

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Attorney for Applicant



Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b>	
Application Number 11/405,500-Conf. #5340		Filing Date March 20, 2006	
First Named Inventor Franz Klug		Examiner Name Not Yet Assigned	
Art Unit N/A		Attorney Docket No. E0196.0100	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 1,950.00		

**METHOD OF PAYMENT (check all that apply)**

☐ Check    ☒ Credit Card    ☐ Money Order    ☐ None    ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account    Deposit Account Number: 50-2215    Deposit Account Name: Dickstein Shapiro LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below    ☐ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17    ☒ Credit any overpayments

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	
Utility	300	150	500	250	200	100	1,000.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**  
 22    - 22 =    x    50.00    =    300.00

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**  
 2    - 3 =    x    =   

HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims**  
**Fee (\$)**    **Fee Paid (\$)**  
 360.00    360.00

360.00    360.00

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
100	0	0	0	0

(round up to a whole number) x =

**4. OTHER FEE(S)**

	Fee Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	130.00
Other (e.g., late filing surcharge): 1051 Surcharge-Late oath or declaration	120.00
1251 Extension for response within first month	120.00
8021 Recording each patent assignment, agreement or ...	40.00

**SUBMITTED BY**

Signature	<i>Laura C. Brutman</i>	Registration No. (Attorney/Agent)	38,395	Telephone	(212) 277-6592
Name (Print/Type)	Laura C. Brutman	Date	August 14, 2006		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1459  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
11/405,500	04/18/2006	Franz Klug	E0196.0100

CONFIRMATION NO. 5340

FORMALITIES  
LETTER

38881  
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP.  
1177 AVENUE OF THE AMERICAS 6TH AVENUE  
NEW YORK, NY 10136-2714

Date Mailed: 05/25/2006

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/15/2006 SDEHBO01 00000115 11405500

FILED UNDER 37 CFR 1.53(b)	01 FC:1811	300.00	pp
	02 FC:1111	500.00	pp
	03 FC:1311	200.00	pp
Filing Date Granted	04 FC:1851	130.00	pp
	05 FC:1202	300.00	pp
	06 FC:1203	300.00	pp

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 300 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*  
*Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.*

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121(d) are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

The applicant needs to satisfy supplemental fees problems indicated below.

FILE NO. \_\_\_\_\_  
DUE: \_\_\_\_\_  
ENTERED BY: \_\_\_\_\_  
ATTY: \_\_\_\_\_

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$660** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1790** for a Large Entity

- **\$300** Statutory basic filing fee.
- **\$130** Surcharge.
- The application search fee has not been paid. Applicant must submit **\$500** to complete the search fee.
- The application examination fee has not been paid. Applicant must submit **\$200** to complete the examination fee for a large entity
- Total additional claim fee(s) for this application is **\$660**
  - **\$300** for 6 total claims over 20.
  - **\$360** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382

PART 2 - COPY TO BE RETURNED WITH RESPONSE